

Effective Date Table 1 - Initial Effective Date

Relevant PLDMG Link

[Initial Effective Dates](#)

Circumstances	Effective Date
New Application from Parent with Care/Person with Care/Child in Scotland	<p>Set by the date contained in the Provisional Calculation letter. The initial effective date is set by taking the date of the next working day after the letter was generated and adding 5 calendar days to that date.</p> <p>Example A</p> <p>The Provisional Calculation letter for a case is generated on Tuesday the 5th April 2016. The initial effective date for that case as recorded in the letter will therefore be Monday the 11th April 2016.</p>
New Application from Non-resident Parent	<p>Set by the date contained in the Initial Calculation letter. That date is set by taking the date of the next working day after the letter was generated and adding 5 calendar days to that date.</p> <p>Example B</p> <p>The Initial Calculation letter for a case is generated on Friday the 9th October 2015. The initial effective date for that case as recorded in the letter will therefore be Saturday the 17th October 2015.</p>
Proactive Case Closure - Application made prior to liability end date (T-date) on a closing legacy scheme case	<p>If an application is made before the date on which liability ends (T-date) on the closing 1993 / 2003 scheme case then the initial effective date on the 2012 case will always be the day after liability on the 1993 / 2003 case ends.</p> <p>Note: Whilst we do still need to notify the NRP of the application that notification should confirm the effective date, but it does not set it.</p> <p>Example C</p> <p>The liability end date (T-date) on a closing legacy scheme case is the 17th February 2016. As long as an application to the 2012 scheme is made before the 17th of February 2016 the initial effective date on the new 2012 scheme</p>

	<p>case will be the 18th of February 2016.</p>
<p>Proactive Case Closure – Application made after T-date</p>	<p>Set by the date contained in the Provisional Calculation letter. That date is set by taking the date of the next working day after the letter was generated and adding 5 calendar days to that date.</p> <p>Example D</p> <p>The liability end date (T-date) on a closing legacy scheme case is the 17th February 2016. An application to the 2012 scheme is made 5th April 2016. The Provisional Calculation letter for a case is generated on Tuesday the 5th April 2016. The initial effective date for that case as recorded in the letter will therefore be Monday the 11th April 2016.</p>
<p>Reactive Case Closure with pause – Application that triggered reactive case closure (New client)</p>	<p>Where Reactive Case Closure With Pause applies due to the NRP having a case-group with on-going liability on 1993/2003 Scheme, the effective date will be one day after the transition date. The NRP MUST be notified of the effective date as per the following procedural exception Calculation - Provisional.</p> <p>Note: This process is followed even in cases where the clients with a reactively closing legacy case choose not to apply to the 2012 scheme, or do so after their legacy liability is ended.</p> <p>Example E</p> <p>New application by the PWC made 11th January 2016 for NRP who has a legacy scheme case with another PWC. Reactive case closure with pause applies. The liability end date (T-date) on a closing legacy scheme case is the 18th of February 2016. NRP notified on 20th January 2016 that effective date will be 19th February 2016.</p>
<p>Reactive Case Closure without pause</p>	<p>Where Reactive Case Closure Without Pause applies, due to the NRP having a case-group on 1993/2003 with no on-going liability, normal initial effective date rules apply.</p> <p>The effective date is set by the date contained in the Provisional Calculation letter. That date is set by taking the date of the next working day after the letter was generated</p>

	<p>and adding 5 calendar days to that date.</p> <p>Example F</p> <p>New application by PWC made 10th March 2016 for NRP who has a legacy scheme “arrears only” case with another PWC, the liability for the legacy case ended 29th September 2015. Reactive case closure without pause applies. The Provisional Calculation letter for the case is generated on Thursday 10th March 2016. The initial effective date for that case as recorded in the letter will therefore be 16th March 2016.</p>
<p>Reactive Case Closure – Application from legacy case clients made prior to liability end date (T-date) on a closing legacy scheme case</p>	<p>Providing an application arising from the closing of a legacy case is made before liability is withdrawn on the legacy case the initial effective date on 2012 scheme will be the date liability was withdrawn on 1993/2003 scheme plus one day.</p> <p>Note: Whilst we do still need to notify the NRP of the application, that notification should confirm the effective date, but it does not set it.</p> <p>Example G</p> <p>The liability end date (T-date) on a closing legacy scheme case is the 17th February 2016. As long as an application to the 2012 scheme is made before the 17th of February 2016 the initial effective date on the new 2012 scheme case will be the 18th of February 2016.</p>
<p>Reactive Case Closure – Application from legacy case clients made after liability end date (T-date) on a closing legacy scheme case</p>	<p>Set by the date contained in the Provisional Calculation letter. That date is set by taking the date of the next working day after the letter was generated and adding 5 calendar days to that date.</p> <p>Example H</p> <p>The liability end date (T-date) on a closing legacy scheme case is the 17th February 2016. An application to the 2012 scheme is made 5th April 2016. The Provisional Calculation letter for a case is generated on Tuesday the 7th April 2016. The initial effective date for that case as recorded in the letter will therefore be Monday the 11th April 2016.</p>

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